

SIMON M. STOREY
VICE PRESIDENT, HUMAN RESOURCES



October 14, 2021

JOSEPH WOLSKI
DIRECTOR, AUDIT OPERATIONS

SUBJECT: Audit Resolution – Accident Reporting (Report 21-015), Recommendation #5

Representatives of the Office of the Inspector General (OIG) and management held an audit resolution meeting on October 13, 2021, to discuss management's disagreement with Recommendation #5 as stated in the August 10, 2021, management response in the subject final audit report.

Recommendation #5

We recommend the Vice President, Human Resources, in conjunction with the Vice President, Technology Applications, and the U.S. Department of Labor (DOL), implement an automated reconciliation process between data from DOL's Employee Compensation Operations and Management Portal and the Postal Service's Employee Health and Safety (EHS) system, to ensure all personal injury industrial accidents are properly recorded in EHS.

The recommendation was discussed, and the alternate action described below was agreed on by both management and the OIG. As a result, the recommendation's status will be changed to "Agreed" in both the OIG's and management's tracking systems. The OIG will close the recommendation when management provides acceptable evidence that the alternative action has been implemented, in accordance with established audit practices.

Specifically, to address this recommendation, management will engage with the Office of Workers' Compensation Programs and our third-party vendor who supports the Safety & Health Management Tool accident reporting application to establish a data feed and an automated reconciliation process.

The process will include an automated feed from OWCP including all the initial information contained on a CA-1 or CA-2 claim for benefits. The feed will be converted internally to match Social Security numbers with USPS Employee Identification Numbers (EIN). This converted file will then be sent by file transfer to the third-party vendor.

Upon successful negotiation with the third-party vendor for a modification to our existing contract, they will develop a program that will match the converted file with already existing event records in the accident reporting tool. The program will check to determine if a record already exists and

if it does, will move to the next record. When the program identifies a record from OWCP that does not have a corresponding event record in SHMT, the tool will auto create a new accident record and have notification sent to the immediate manager of that employee and the local safety and injury compensation teams for completion of the report and subsequent investigation.

Target Implementation Date: September 30, 2022

Responsible Officials: Garrett Hoyt, Executive Director, CIO Services
Linda DeCarlo, Sr. Director, Occupational Safety & Health

Sincerely,

A handwritten signature in black ink, appearing to read "Simon Storey". The signature is fluid and cursive, with a long horizontal stroke at the end.

Simon M. Storey